



PONTARDDULAIS COMPREHENSIVE SCHOOL YSGOL GYFUN PONTARDDULAIS



Performance Management Policy

Polisi Rheoli Perfformiad

Mr. G. Rees
Headteacher

Mrs. S. Bradshaw
Chair of Governors

Review Date: Spring Term 2023
Next Review Date: Spring Term 2026

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1. ABOUT THIS POLICY

This policy sets out the performance management arrangements for the Headteacher and teachers of Pontarddulais Comprehensive School. It has been agreed by the Governing Body, Headteacher and Local Authority and follows consultation with all staff members and recognised trade unions. It describes the purpose, procedures, roles and responsibilities that will ensure that the school's performance management arrangements contribute to the professional development of its staff and to the achievement of wider development objectives for the school and its learners.

The policy will be reviewed regularly and any necessary amendments will be the subject of further consultation with staff members.

The Governing Body will be provided with an annual written report on the operation and effectiveness of this policy including the training and development needs arising from the performance management process.

This policy has been produced with due regard to the current Appraisal Regulations and accompanying guidance published by the Welsh Government. These can be found at:

Regulations:

www.legislation.gov.uk/wsi/2011/2940/contents/made

Guidance:

<https://hwb.gov.wales/professional-development/performance-management#performance-management-guidance>

This policy does not apply to:

- newly qualified teachers (NQT) undergoing their statutory period of induction who are exempted from performance management arrangements; or
- teachers employed for a fixed period of less than one school term



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2. PRINCIPLES UNDERPINNING PERFORMANCE MANAGEMENT

The following principles will underpin our performance management arrangements:

- Trust, confidentiality and professional dialogue between appraiser and appraisee
- Consistency so that all staff are treated fairly
- Recognition of strengths and a commitment to share effective practice
- A commitment to provide constructive feedback on performance
- Rigorous and evidence based
- A shared commitment to meeting the **School Development Plan (SDP)** and appropriate national priorities

3. PROFESSIONAL STANDARDS

Teachers are required to meet the Practising Teacher Standards at the end of their induction period and must continue to meet them throughout their career. The Headteacher is required to meet the Leadership Standards. Other practitioners may choose to use the Leadership Standards as a reference for their leadership development where this is identified as a priority for their professional development.

The professional standards should be considered as a whole to provide a backdrop to discussion and to help practitioners identify areas for further development.

The relevant professional standards can be found at:

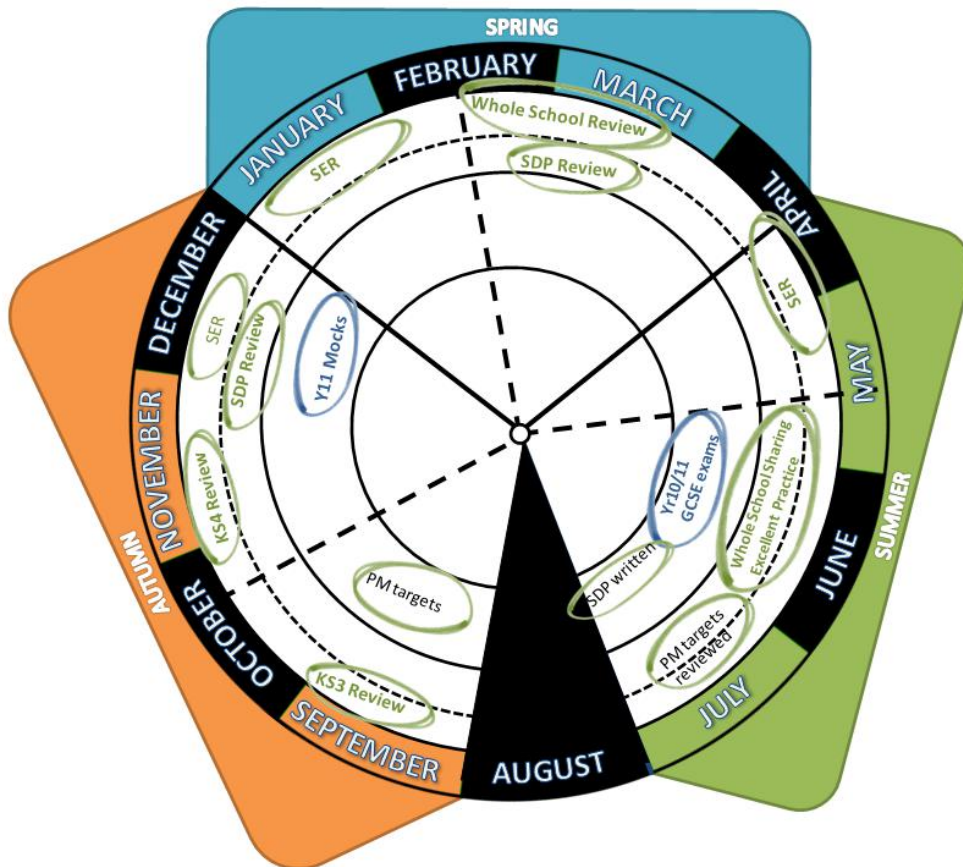
<https://hwb.gov.wales/professional-development/professional-standards#new-professional-standards-for-all-school-practitioners>

4. TIMING OF THE PERFORMANCE MANAGEMENT CYCLE

The school's annual performance management cycle is set out in the diagram below. The performance management cycle links closely with the school's development planning cycle. The performance management targets of nearly all staff will link to targets on the SDP (School Development Plan) and ADP (Area Development Plan).



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The above diagram represents the performance management review cycle matched with the fixed Welsh Government release dates and external pupil assessments



5. APPOINTMENT OF APPRAISERS

The Headteacher will appoint an appraiser for every teacher in the school.

The Headteacher's appraisal will be carried out by a panel consisting of:

- at least two governors appointed by the Governing Body
- one or two representatives appointed by the local authority

6. THE PERFORMANCE MANAGEMENT PLAN

Performance management will be a continuous cycle throughout the year involving three stages of planning, monitoring performance and reviewing performance.

The appraiser(s) will meet with the teacher/Headteacher to plan and prepare for the annual appraisal. This meeting may be combined with the review meeting held at the end of the previous cycle.

The meeting will seek to agree the following:

- objectives for the cycle and professional development activities to support achievement of the objectives
- the monitoring procedures including arrangements for observation of teaching on at least one occasion
- any sources of information and data relevant to the objectives

In planning, monitoring and reviewing the performance management objectives the member of staff needs to consider the following guidelines

Planning

- What is the focus of my objectives – how do they relate to school priorities and my own professional development?
- What is the intended impact on my practice?
- What do I need to find out - what do other people already know about it?
- What data and evidence will I make use of?
- How will I use what I've found out to help me meet my objectives?
- What actions and/or professional development activities am I planning?
- What support will I need?
- How will I work with others to achieve my objectives?



Monitoring

- What progress am I making in meeting my objectives?
- What impact has there so far been on my practice?
- How do I know - what data and evidence am I using?
- How are others involved in monitoring?

Reviewing

- How well have I met my objectives?
- Are there any factors that have affected progress in meeting my objectives?
- What have I found out?
- What has been the impact on my practice and/or learner outcomes?
- How do I know – what data and evidence am I using?
- What professional development activities have I undertaken?
- What support has been provided to me?
- Do I need any follow up development?

In the case of the Headteacher's performance plan only, the chair of the Governing Body will provide, on request, a copy of the Headteacher's objectives to Estyn.

The arrangements for monitoring performance against the objectives, including the use of observation, will be decided during the planning meeting and recorded by the appraiser(s).

The appraisee and appraiser(s) will keep progress under review throughout the cycle including the use of informal discussion as well as the more formal arrangements specified in the planning meeting.

7. THE REVIEW MEETING

At the end of the performance management cycle a formal review meeting will be held where the appraiser and appraisee will discuss achievements, any areas for improvement and professional development activities. This meeting may be combined with the planning meeting for the next annual cycle.

The purpose of the review meeting will be to:

- assess the extent to which the appraisee has met their objectives
- determine whether there has been successful overall performance in confirming the appraisee continues to meet the relevant professional standards
- identify the need for additional support, training or development and how this will be met.



8. THE APPRAISAL STATEMENT

Within 10 school days of the review meeting the appraiser(s) will provide the appraisee with a written / electronic copy of the main points made at the review meeting and the conclusions reached.

The appraiser will seek to agree the final wording of the appraisal statement with the appraisee.

The appraisee may, within 10 school days of receiving the final appraisal statement, add comments in writing. These comments will then form part of the statement. All documents are confidential and must be kept in a secure place. The provisions of the Data Protection Act 2018 will be followed at all times.

9. APPEALS

The appraisee may appeal against the appraisal statement within 10 school days of receiving the appraisal statement. An appeals officer or, in the case of the Headteacher, an appeals panel will be appointed to conduct a review. All appeals will be conducted in accordance with the current Appraisal Regulations and the associated Welsh Government guidance.

In summary, the appeal process will involve the following stages:

- Appraisee lodges appeal with the Governing Body
- Appeals officer/panel appointed
- Appeals officer/panel provided with copy of appraisal statement within 5 school days of receiving notice of appeal
- Appeal review will be carried out within 10 school days of receiving appraisal statement
- The appeals officer/panel must take into account any representations made by the appraisee
- The appeals officer/panel may then decide that:
 - the appraisal has been carried out satisfactorily;
 - with the agreement of the appraiser(s), amend the appraisal statement;
 - order that a new appraisal be carried out
- The appeals officer/panel cannot determine:
 - that new objectives can be set: or
 - that existing objectives be revised



10. USE OF APPRAISAL STATEMENTS

10.1 Teachers

The appraiser must give a copy of the appraisal statement to the appraisee and to the Headteacher. In turn, the Headteacher will, on request, provide a copy to:

- the appraiser;
- an appeals officer;
- any governors responsible for making decisions or giving advice on matters in relation to pay.

Where the appraisee is eligible for pay progression under the School Teachers Pay and Conditions Document (STPCD) the appraiser will provide a recommendation on pay progression to the Headteacher in line with provisions of the STPCD.

The Headteacher will provide a copy of the annex to the appraisal statement detailing professional development needs to the person with whole-school responsibility for planning provision for training and development.

The appraisal statement will be kept by the Headteacher in a safe and secure place until at least 3 years after the next appraisal statement has been finalised.

10.2 Headteacher

In the case of the Headteacher's performance management the appraisers will give copies of the appraisal statement to the Headteacher, the chair of the Governing Body and the chief education officer. Appraisers will also, on request, provide a copy to any governors responsible for making decisions or giving advice on matters in relation to pay.

The chair of the Governing Body will also provide, on request, a copy of the Headteacher's appraisal statement to:

- any officer designated by the chief education officer responsible for the performance of Headteachers;
- any appeals officer.

The chair of the Governing Body will provide a copy of the annex to the appraisal statement detailing professional development needs to the person with whole-school responsibility for planning provision for training and development.



The appraisal statement will be kept by the Governing Body in a safe and secure place until at least 3 years after the next appraisal statement has been finalised. The Headteacher will also keep a copy of the appraisal statement for the same period of time.

Information from the Headteacher's appraisal statement may be taken into account by the Governing Body (or its committees) in matters relating to the promotion, discipline or dismissal of the Headteacher or in relation to any discretion over pay.

11. **MANAGING UNDER PERFORMANCE**

Effective line management arrangements, including the effective use of the procedures outlined in this policy, will help prevent under-performance through early identification, support and intervention.

The performance management procedures set out in this policy, including the review meeting and appraisal statement, do not form part of any disciplinary, competency or capability procedures. However, information from the Appraisal Statement can be taken into account when making decisions about pay, promotion, dismissal, or disciplinary matters that may be triggered by other procedures.



ANNEXE : Practice Review and Development Record with Appraisal Statement

Purpose of the PRD Record with Appraisal Statement

The purpose of the PRD Record is to support practitioners in:

- Meeting the requirements of the Appraisal Regulations to keep an up-to-date record of:
 - their own assessment of their performance against their performance management objectives;
 - professional development undertaken or other support provided and how this is contributing to the achievement of objectives;
 - any factors which the practitioner considers are affecting performance against the objectives.
- Reflecting regularly on their practice including the use of relevant data and evidence to review their practice and identify priorities for professional development
- Providing a focus for discussion during the planning, monitoring and reviewing stages of the performance management cycle

Completing the PRD Record and Appraisal Statement

The following guidance will assist practitioners in completing their PRD Record during the performance management cycle. The guidance is intended to ensure the PRD Record supports the practitioner in their work without being burdensome to complete.

The PRD Record should be regularly updated – this does not need to be daily or even weekly but at key points throughout the performance management cycle. In reflecting regularly on their practice, practitioners should use their professional judgement to decide the points at which the record is updated so that it remains relevant and up-to-date.

- The template makes provision for up to 3 objectives, and an extra optional objective.
- The template is arranged to reflect the PM cycle of Planning, Monitoring and Reviewing. Each section has a number of “prompts” designed to assist practitioners to reflect on key aspects of their practice and professional development. Practitioners may find it helpful to base their PRD records around answers to some or all of these prompts.



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- Entries should be concise but sufficiently detailed to serve as a basis for discussion. Lengthy prose responses are not necessary and practitioners may choose to adopt a 'bullet point' style approach
- It is recommended that the PRD Record is maintained in electronic form as this will facilitate easier amendment and updating
- Where objectives are linked it may be more appropriate to 'write across' columns to avoid making duplicate entries
- Linking objectives to the Welsh Government's 'Professional Standards for Teaching and Leadership' allows practitioners to build a clear portfolio of evidence over time.
- Staff can choose which format they would like to use for their PRD record. The most commonly used format is shown on the next page.
- The appraisal statement can be completed during the final review of objectives.



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PRACTICE REVIEW AND DEVELOPMENT RECORD

NAME OF POSTHOLDER

JOB TITLE

AREA/SUBJECT

MPG/TLR



Objectives agreed: 2019/20				Appraisal Statement (Review of Objectives) 2019/20				
Objectives 2018/19	Objective	CPD/Support required	Monitoring procedures	Data or information to be used	The extent to which objectives have been met	Overall performance against professional standards	Needs identified to support CPD	Pay Progression
Objective 1 (Outcomes)	Objective 1 (Outcome)							
Objective 2 (Teaching & Learning)	Objective 2 (Teaching & Learning)							
Objective 3 (Leadership/Management/School Development)	Objective 3 (Leadership/Management/School Development)							
Objective 4 (Optional) (Wider Professional Development)	Objective 4 (Optional) (Wider Professional Development)							
Appraiser: <input type="text"/>		Date: <input type="text"/>		Appraiser: <input type="text"/>		Date: <input type="text"/>		
Appraisee: <input type="text"/>		Date: <input type="text"/>		Appraisee: <input type="text"/>		Date: <input type="text"/>		